

Portfolio Margin Account

Acknowledgement and Special Suitability Questionnaire

By signing below, I represent that I understand that in order to maintain a Portfolio Margin Account, Lek Securities Corporation (“LSC”) requires a minimum equity of \$250,000 be maintained in the account at all times, and I agree that LSC may liquidate positions (or establish risk-reducing positions) in my account if LSC in its sole discretion determines that acceptable risk parameters have been exceeded, or if at any time the account equity falls below \$250,000, WITHOUT NOTICE, in accordance with the provisions of the Customer Agreement.

(Customer Signature)

By signing below, I represent that I have been employed as an options or derivatives trader in the following manner (*check all that apply*):

- Registered ETF or options specialist
- Registered ETF or options market maker
- At least three year gainfully employed as upstairs derivatives trader with P&L responsibility.
- Other, explain:

(Customer Signature)

By signing below, I represent that I understand that LSC requires that all margin calls in Portfolio Margin Accounts be met by bank wire transfer within two hours upon demand.

I have made the following arrangements with my bank as listed below, to meet all margin calls as required:

Bank Name and ABA Number: _____

Bank Address: _____

Account Officer and Title: _____

Customer Account Number: _____

I agree that LSC may liquidate positions (or establish risk-reducing positions) in my account if a margin call is not met within two hours upon demand, WITHOUT NOTICE, in accordance with the provisions of the Customer Agreement.

(Customer Signature)