

Customer _____
Account No _____

**CUSTOMER OPTIONS ACCOUNT
FORM AND AGREEMENT**

To Lek Securities Corporation:

YOU HAVE ADVISED ME THAT APPLICABLE REGULATIONS REQUIRE THAT IN OPENING AN ACCOUNT FOR OPTIONS TRANSACTIONS, YOU EXERCISE DUE CARE IN DETERMINING EACH CUSTOMERS INVESTMENT OBJECTIVES, FINANCIAL SITUATION, AND NEEDS. ACCORDINGLY, PLEASE BE ADVISED OF THE FOLLOWING INFORMATION REGARDING MY OPTION ACCOUNT.

Social Security or Tax Payer
Identification Number _____ Home Telephone Number _____ Business Telephone Number _____

Customer Address _____ Employer's name and Address _____

Age _____ No. of Dependents _____ Occupation _____

Married Single Number of Years Employed _____

INVESTMENT OBJECTIVES

SAFETY OF PRINCIPAL _____ INCOME _____
TRADING PROFITS _____ GROWTH _____
OTHER (SPECIFY) _____ SPECULATION _____

NOTE: YOU MUST INDICATE ONE OR MORE INVESTMENT OBJECTIVES FOR THE CUSTOMER

FINANCIAL INFORMATION AND INVESTMENT EXPERIENCE

Approx Annual Income _____ Approx Net Worth _____ Approx Liquid /
(Excluding Net Worth) _____ Net worth _____

	Number of years investing	Average size of trades	Frequency of trades	Type of Trades
Options	_____	_____	_____	_____
Stocks	_____	_____	_____	_____
Bonds	_____	_____	_____	_____
Commodities	_____	_____	_____	_____
Other	_____	_____	_____	_____

Source of Information:

Customer Other _____ (Specify)

Customer Refused to Provide _____

Registered Representative R.R. No. Dept. Supervisor Date ____/____/____

APPROVALS

Initial EROP Approval _____ Date ____/____/____

Final SEROP Approval _____ Date ____/____/____

Approved for Cash account _____ B. Covering Writing _____

C. Spreading _____ D. Uncovered Writing _____

Compliance Approval Required: Yes No

FOR DISCRETIONARY ACCOUNT (THIRD PARTY)

Name and Address of person having discretion _____

Relationship to Customer _____

Investment Experience _____

Customer is requested to review and verify the above information. Please make any required corrections and initial the changes. If no Corrections in writing are submitted to the firm, the above information will be deemed correct. Customer is requested to advise the firm of any material change in this information in writing.

OPTIONS AGREEMENT

To the extent that you may purchase, sell, endorse, handle or carry for the account of the undersigned any put option, call option, or other option, the undersigned agrees to the terms and conditions of this Agreement between you and the undersigned. (in this Agreement the "undersigned" means the customer or customers and "you" means Lek Securities Corporation).

1. The undersigned understands there are risks in option trading. These risks are described in the Disclosure Document entitled, "Characteristics and Risks of Standardized Options".
2. The undersigned is aware of such risks and will not engage in any option transaction unless the undersigned is financially able to sustain any loss arising from such transaction. The undersigned is aware that in particular the selling (writing) of naked calls or naked puts may result in substantial financial losses.
3. It shall be the sole responsibility of the undersigned to exercise, in a proper and timely manner, any right, privilege, or obligation of any put options, call option, or other option which you may purchase, handle, endorse, or carry for the account(s) of the undersigned.
4. Where the undersigned is a seller of an option, you are authorized in your sole discretion and without notification to the undersigned, in the event the undersigned does not meet your margin calls promptly, to take any and all steps necessary to protect yourself from loss or damage arising out of any put option, call option, or other option transaction made for the account of the undersigned including buying or selling short, short exempt, for the account of and at the risk of the undersigned any part of or all the shares represented by options endorsed by you and for the account of the undersigned, or buying for the account of and at the risk of the undersigned any put option, call option, or other option as you may deem necessary to protect yourself fully from loss or damage. The undersigned further agrees that any and all expenses incurred by you in connection with the foregoing will be reimbursed to you by the undersigned.
5. The foregoing provisions shall apply to all put options, call options, or other options which you may have previously purchased, sold, executed, handled, endorsed, or carried for the account of the undersigned and shall also apply to all put options, call options, or other options which you may hereafter purchase, sell, handle, endorse, or carry for the account of the undersigned and shall inure to the benefit of your firm as now or hereafter constituted.
6. You shall not be liable for loss caused directly or indirectly by government restrictions, exchange or market rulings, war, strikes, or other conditions beyond your control.
7. **ARBITRATION DISCLOSURES:**
 - **ARBITRATION IS FINAL AND BINDING ON THE PARTIES.**
 - **THE PARTIES ARE WAIVING THEIR RIGHT TO SEEK REMEDIES IN COURT, INCLUDING THE RIGHT TO JURY TRIAL.**
 - **PRE-ARBITRATION DISCOVERY IS GENERALLY MORE LIMITED THAN AND DIFFERENT FROM COURT PROCEEDINGS.**
 - **THE ARBITRATORS' AWARD IS NOT REQUIRED TO INCLUDE FACTUAL FINDINGS OR LEGAL REASONING AND ANY PARTY'S RIGHT TO APPEAL OR TO SEEK MODIFICATION OF RULINGS BY THE ARBITRATORS IS STRICTLY LIMITED.**
 - **THE PANEL OF ARBITRATORS WILL TYPICALLY INCLUDE A MINORITY OF ARBITRATORS WHO WERE OR ARE AFFILIATED WITH THE SECURITIES INDUSTRY.**

ARBITRATION

THE UNDERSIGNED AGREES, AND BY CARRYING AN ACCOUNT FOR THE UNDERSIGNED YOU AGREE, THAT ALL CONTROVERSIES WHICH MAY ARISE BETWEEN US CONCERNING ANY TRANSACTION OR THE CONSTRUCTION, PERFORMANCE, OR BREACH OF THIS OR ANY OTHER AGREEMENT BETWEEN US PERTAINING TO SECURITIES AND OTHER PROPERTY, WHETHER ENTERED INTO PRIOR, ON, OR SUBSEQUENT TO THE DATE HEREOF, SHALL BE DETERMINED BY ARBITRATION. ANY ARBITRATION UNDER THIS AGREEMENT SHALL BE CONDUCTED PURSUANT TO THE FEDERAL ARBITRATION ACT AND THE LAWS OF THE STATE

OF NEW YORK BEFORE THE NEW YORK STOCK EXCHANGE, INC OR AN ARBITRATION FACILITY PROVIDED BY ANY OTHER EXCHANGE OF WHICH YOU ARE A MEMBER, OR THE FINANCIAL INDUSTRY REGULATORY AUTHORITY (FINRA) OR THE MUNICIPAL SECURITIES RULE MAKING BOARD AND IN ACCORDANCE WITH THE RULES OBTAINING OF THE SELECTED ORGANIZATION.

THE UNDERSIGNED MAY ELECT IN THE FIRST INSTANCE WHETHER ARBITRATION SHALL BE BY AN EXCHANGE OR SELF-REGULATORY ORGANIZATION OF WHICH YOU ARE A MEMBER, BUT IF THE UNDERSIGNED FAILS TO MAKE SUCH ELECTION, BY REGISTERED LETTER OR TELEGRAM ADDRESSED TO YOU AT YOUR MAIN OFFICE, BEFORE THE EXPIRATION OF TEN (10) DAYS AFTER RECEIPT OF A WRITTEN REQUEST FROM YOU TO MAKE SUCH ELECTION, THEN YOU MAY MAKE SUCH ELECTION. THE AWARD OF THE ARBITRATORS, OR OF THE MAJORITY OF THEM, SHALL BE FINAL, AND JUDGEMENT UPON THE AWARD RENDERED MAY BE ENTERED IN ANY COURT, STATE OR FEDERAL, HAVING JURISDICTION.

NO PERSON SHALL BRING A PUTATIVE OR CERTIFIED CLASS ACTION TO ARBITRATION, NOR SEEK TO ENFORCE ANY PRE-DISPUTE ARBITRATION AGREEMENT AGAINST ANY PERSON WHO HAS INITIATED IN COURT A PUTATIVE CLASS ACTION; OR WHO IS A MEMBER OF A PUTATIVE CLASS WHO HAS NOT OPTED OUT OF THE CLASS WITH RESPECT TO ANY CLAIMS ENCOMPASSED BY THE PUTATIVE CLASS ACTION UNTIL: (i) THE CLASS CERTIFICATION IS DENIED; OR (ii) THE CLASS IS DECERTIFIED; OR (iii) THE CUSTOMER IS EXCLUDED FROM THE CLASS BY THE COURT SUCH FOREBEARANCE TO ENFORCE AN AGREEMENT TO ARBITRATE SHALL NOT CONSTITUTE A WAIVER OF ANY RIGHTS UNDER THIS AGREEMENT EXCEPT TO THE EXTENT STATED HEREIN.

8. If you carry an account for the undersigned as clearing broker by arrangement with the undersigned's broker, then, unless you receive a written notice to the contrary from the undersigned, you shall accept from such other broker, without any inquiry or investigation, (i) orders for the purchase or sale of securities and other property for the account of the undersigned, on margin or otherwise and (ii) any other instructions concerning the account of the undersigned. The undersigned understands and agrees that you shall have no responsibility or liability to the undersigned for any acts or omissions of such other broker, its officers, employees, or agents. The undersigned's broker has authorized you to enter into this agreement with the undersigned on its behalf, and the terms and conditions hereof, including the pre-dispute arbitration provision, shall be applicable to all matters between the undersigned, the undersigned's broker and you.
9. The undersigned acknowledges the receipt of the Disclosure Document entitled "Characteristics and Risks of Standardized Options" and can obtain an Options Clearing Corp. Prospectus upon request.
10. This Agreement shall be subject to the laws of the State of New York and the exclusive jurisdiction of the courts and arbitration forums located in New York County, New York. If any proceeding is brought in any forum other than the courts or arbitration forums located in New York County, New York, the parties agree that that proceeding will be transferred to the courts or arbitration forums located in New York County, New York.
11. In addition to the terms and conditions hereto, the Options Account Form and Agreement will be subject to all of the terms and conditions of all other agreements entered into by you and the undersigned relating to the purchase and sale of securities and options except to the extent that such other agreements are contrary to or inconsistent with this agreement. Those agreements are incorporated herein by reference and are a part of this agreement.
12. The undersigned has read the foregoing Customer Option Account Form and confirms that the information contained therein, especially those items concerning income, net worth, and investment objectives is accurate unless corrected and initiated.
13. THIS AGREEMENT CONTAINS A PRE-DISPUTE ARBITRATION CLAUSE.

14. THE UNDERSIGNED HEREBY CONFIRMS THAT THEY HAVE READ THE PROVISIONS
HEREIN AND UNDERSTANDS THE CONTENTS HEREOF.

PRINT NAME

TITLE IF REQUIRED

DATE

SIGNATURE

Exercise Notification

We are required by the Option Exchanges to advise you of the method used by us in the allocation of exercise notices. Accordingly, we are advising you that we use the random method of allocation. Under this method exercise assignment notices for option contracts are randomly allocated among customer short positions. A more detailed description of our random allocation procedure is available upon request.

FOR CUSTOMER SERVICES DEPARTMENT USE ONLY

	Date Sent Date	Date Received
Characteristics and Risks of Standardized Options	_____	_____
Margin Agreement	_____	_____
Option Agreement	_____	_____
Joint Account Agreement	_____	_____
Third Party Discretionary Letter	_____	_____
Compliance Approval If Required	_____	_____